



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

John S. HENDRICKS et al.

Confirmation No.: 9303

Application No.: 09/289,957

Group Art Unit: 3621

Filed: April 13, 1999

Examiner: John M. WINTER

For: ELECTRONIC BOOK ALTERNATIVE DELIVERY SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: March 15, 2007

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

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c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(l)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

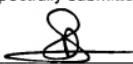
4. Copy/Copies of the U.S. Patent references are not enclosed.

5. The references are cited in corresponding foreign applications, and related U.S. and foreign applications.

6. Copies of the foreign patent documents and the publications are on the attached CD

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00024.

Respectfully submitted,



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Enclosures: PTO/SB/08a - U.S. Patent Documents (13 pages)
PTO/SB/08a - Foreign Patent Documents (5 pages)
PTO/SB/08a - U.S. and Non-Patent Literature (1 page)
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INFORMATION DISCLOSURE
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Complete if Known

Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	026880-00024

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JW/	A1	US- 5,864,823		01-26-1999	LEVITAN	
	A2	US-4,023,408		05-17-1977	RYAN et al.	
	A3	US-5,146,600		09-08-1992	SUGIURA	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Country Code ²	Number-Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A14	HERRON T.L., "Teaching with the Internet" Internet and Higher Education, US, JAI Press, Stamford, CT, Vol. 1, No. 3, 1998, pages 217-222, XP002118719, ISSN: 1096-7516.	
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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Sheet 1 of 13

Attorney Docket Number 026880-00024

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Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
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		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

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Sheet 2 of 13

Attorney Docket Number 026880-00024

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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/JW/	4,688,218			8/18/1987	Blineau et al.	
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Examiner Signature	John Winter	Date Considered
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Complete if Known	
Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

Sheet 3 of 13 Attorney Docket Number 026880-00024

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/JW	4,965,825			10/23/1990	Harvey, et al.	
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				Complete if Known		
				Application Number	09/289,957	
				Filing Date	April 13, 1999	
				First Named Inventor	John S. HENDRICKS et al.	
				Art Unit	3621	
				Examiner Name	John M. Winter	
				Attorney Docket Number	026880-00024	
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/JW/				6/23/1992	Maki	
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Sheet

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of

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Attorney Docket Number 026880-00024

U.S. PATENT DOCUMENTS

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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

Attorney Docket Number 026880-00024

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Examiner Initials*, Cite No., Document Number Number-Kind Code*, (if known) Publication Date Name of Patentee or Applicant, if cited. Document Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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Attorney Docket Number 026880-00024

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Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

Attorney Docket Number 026880-00024

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U.S. PATENT DOCUMENTS

Complete if Known	
Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

U.S. PATENT DOCUMENTS

Examiner Initials* Cite No. Document Number Number-Kind Code* (if known) Publication Date Name of Patentee or Applicant of Cited Document Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

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Attorney Docket Number 026880-00024

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Cite No.	Document Number	Number-Kind Correl. (if known)	Publication Date -MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Paragraphs or Relevant Figures, Annex
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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

Attorney Docket Number 026880-00024

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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

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026880-00024

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Application Number	09/289,957
Filing Date	April 13, 1999
First Named Inventor	John S. HENDRICKS et al.
Art Unit	3621
Examiner Name	John M. Winter

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JW/		JP 07230466A JP 08008850A JP 09 284571 JP 09227193 JP 11068770 JP 408051614A JP 410285568A Taiwan Pat.Pub.No. 234223 Taiwan Pat.Pub.No. 235358 Taiwan Pat.Pub.No. 235359 Taiwan Pat.Pub.No. 236065 Taiwan Pat.Pub.No. 236744 Taiwan Pat.Pub.No. 238461		08/29/1995 01/12/1996 10/31/1997 08/08/1997 3/9/1999 02/20/1996 10/23/1998 n/a n/a n/a n/a n/a n/a	Shuichi Japan KIYOSHI Masateru JAPAN Inagaki Shishino Yan et al. Glince Keiichi Taguchi et al. Judit Franklin Manullan Jr.		



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